

PRADEEP MAHTANI
Investment Adviser

SEBI Registration No: INA000010742 (Perpetual registration)/BASL Membership No: 1158

Address: 8 Hampton Court, 4th Floor, A Wing; Wodehouse Road; Colaba, Mumbai 400005. Mobile: +91 98202 28355 Email: pgmahtani@gmail.com

SEBI Address: SEBI Bhavan BKC; Plot No: C4-A, 'G' Block; Bandra-Kurla Complex; Bandra (E), Mumbai 400051. (www.sebi.gov.in)

Complaint Data to be displayed by Investment Advisers

Data for the month ending

Oct-25

Sr No	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3 months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	N.A.	0	0	N.A.
2	SEBI (SCORES)	0	0	N.A.	0	0	N.A.
3	Other Sources (if any)	0	0	N.A.	0	0	N.A.
Grand Total		0	0	0	0	0	0

Note: ^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by the total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr No	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	Apr-25	0	0	N.A.	0
2	May-25	0	0	N.A.	0
3	Jun-25	0	0	N.A.	0
4	Jul-25	0	0	N.A.	0
5	Aug-25	0	0	N.A.	0
6	Sep-25	0	0	N.A.	0
7	Oct-25	0	0	N.A.	0
Grand Total		0	0	0	0

Note: * Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

Sr No	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2018-19	0	0	N.A.	0
2	2019-20	0	0	N.A.	0
3	2020-21	0	0	N.A.	0
4	2021-22	0	0	N.A.	0
5	2022-23	0	0	N.A.	0
6	2023-24	0	0	N.A.	0
7	2024-25	0	0	N.A.	0
Grand Total		0	0	0	0

Note: * Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

Standard Warning: Investment in securities market are subject to market risks. Read all the related documents carefully before investing.

Disclaimer: Registration granted by SEBI, membership of BASL and certification from NISM in no way guarantee performance of the intermediary or provide any assurance of returns to investors.